



Docket Number: 012237-0281180
Client Reference: B00/1600US

AP/3737
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of
CHRISTOPHE DAUGA

Group Art Unit: 3737

Application No.: 09/886,395

Examiner: Shaw, Shawna Jeannine

Filed: June 22, 2001

Confirmation No.: 4258

For: APPARATUS AND PROCESS FOR EXAMINING A SURFACE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(i)(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1).

English-language Abstracts of the non-English language references are attached hereto.

10/28/2004 RFEKADU1 00000063 033975 09886395
01 FC:1806 180.00 DA

Respectfully Submitted,

Robert C. Perez
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Date: October 27, 2004
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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 180.00

Complete if Known

Application Number	09/886,395
Filing Date	June 22, 2001
First Named Inventor	CHRISTOPHE DAUGA
Examiner Name	Shaw, Shawna Jeannine
Art Unit	3737
Attorney Docket No.	012237-0281180

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number **033975**
Deposit Account Name **PILLSBURY WINTHROP LLP**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee			
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
SUBTOTAL (1) (\$)		0.00			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20** =	X	Fee from below	Fee Paid
Independent Claims	- 3** =	X		
Multiple Dependent		X		

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20			
1201 86	2201 43	Independent claims in excess of 3			
1203 290	2203 145	Multiple dependent claim, if not paid			
1204 86	2204 43	** Reissue independent claims over original patent			
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)		0.00			

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 2051	65 Surcharge - late filing fee or oath	
1052 50	2052 25	25 Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	130 Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 2251	55 Extension for reply within first month	
1252 420	2252 2252	210 Extension for reply within second month	
1253 950	2253 2253	475 Extension for reply within third month	
1254 1,480	2254 2254	740 Extension for reply within fourth month	
1255 2,010	2255 2255	1,005 Extension for reply within fifth month	
1401 330	2401 2401	165 Notice of Appeal	
1402 330	2402 2402	165 Filing brief in support of an appeal	
1403 290	2403 2403	145 Request for oral hearing	
1451 1,510	1451 1,510	1,510 Petition to institute a public use proceeding	
1452 110	2452 2452	55 Petition to revive - unavoidable	
1453 1,330	2453 2453	665 Petition to revive - unintentional	
1501 1,330	2501 2501	665 Utility issue fee (or reissue)	
1502 480	2502 2502	240 Design issue fee	
1503 640	2503 2503	320 Plant issue fee	
1460 130	1460 1460	130 Petitions to the Commissioner	
1807 50	1807 1807	50 Processing fee under 37 CFR 1.17(q)	
1806 180	1806 1806	180 Submission of Information Disclosure Stmt	180.00
8021 40	8021 8021	40 Recording each patent assignment per property (times number of properties)	
1809 770	2809 2809	385 Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 2810	385 For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 2801	385 Request for Continued Examination (RCE)	
1802 900	1802 1802	900 Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)		0.00	180.00

(Complete if applicable)					
Name (Print/Type)	Robert C. Perez	Registration No. (Attorney/Agent)	39328	Telephone	703.905.2159
Signature				Date	October 27, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

9

1

Attorney Docket Number 012237-0281180

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 05256795 A	Oct. 5, 1993	HITACHI LTD		
		JP 58018146 A	Feb. 2, 1983	ULVAC CORP		
		JP 02129503 A	May 17, 1990	SHIMADZU CORP		
		JP 02304336 A	Dec. 18, 1990	KYODO PRINTING CO		

Examiner Signature		Date Considered	
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***EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.**

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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